

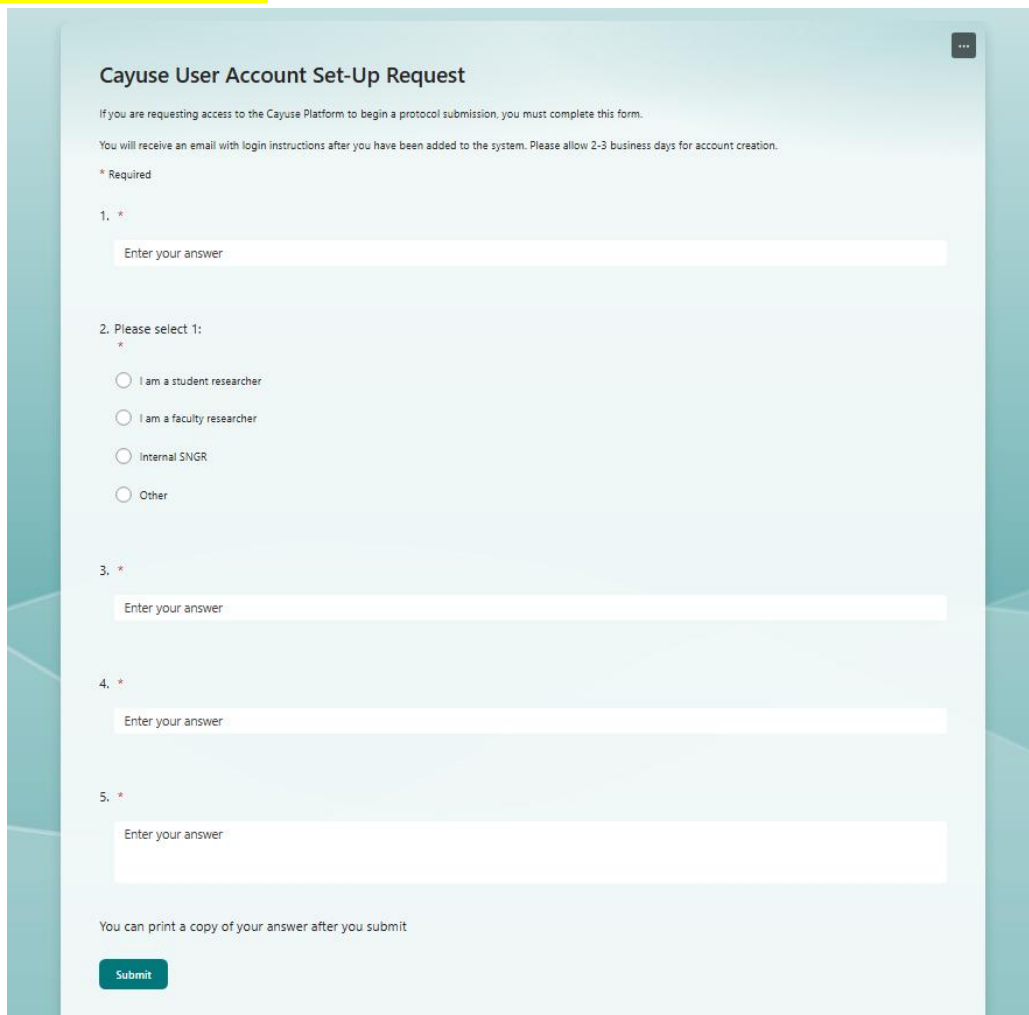
Research Applicant Instruction Guide:

If you are a student/faculty or researcher looking to submit an ethics protocol to the Six Nations of the Grand River Research Ethics Committee, you must request that an account be created for you on our Cayuse Research Administration platform.

Please follow these instructions to request an account:

Step 1: Complete this form to submit a “Cayuse user account” request

- [Click Link to Form Here](#)



The screenshot shows a web form titled "Cayuse User Account Set-Up Request". The form is set against a light blue background with a darker blue sidebar on the left. The form content is white. At the top right of the form area is a small dark grey square with three white dots. The title "Cayuse User Account Set-Up Request" is in bold. Below the title is a paragraph of instructions: "If you are requesting access to the Cayuse Platform to begin a protocol submission, you must complete this form. You will receive an email with login instructions after you have been added to the system. Please allow 2-3 business days for account creation." Below this is a section marked with an asterisk and the word "Required". The form contains five numbered questions, each with a red asterisk. Questions 1, 3, 4, and 5 are text input fields with the placeholder "Enter your answer". Question 2 is a radio button selection with the text "Please select 1:". The radio button options are: "I am a student researcher", "I am a faculty researcher", "Internal SINGR", and "Other". At the bottom of the form, there is a line of text: "You can print a copy of your answer after you submit", followed by a green "Submit" button.

Cayuse User Account Set-Up Request

If you are requesting access to the Cayuse Platform to begin a protocol submission, you must complete this form.
You will receive an email with login instructions after you have been added to the system. Please allow 2-3 business days for account creation.

* Required

1. *

Enter your answer

2. Please select 1:

*

☐ I am a student researcher

☐ I am a faculty researcher

☐ Internal SINGR

☐ Other

3. *

Enter your answer

4. *

Enter your answer

5. *

Enter your answer

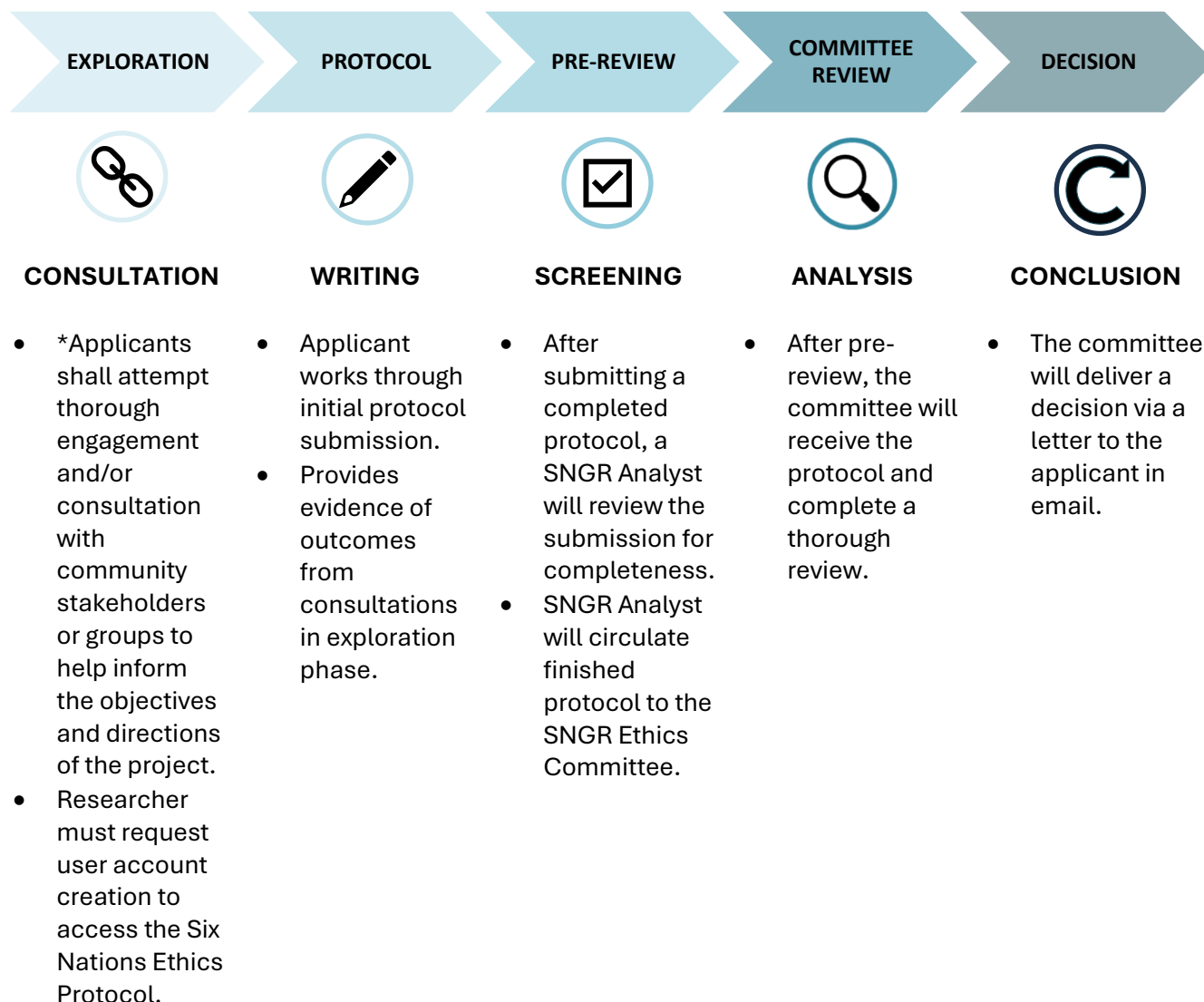
You can print a copy of your answer after you submit

Submit

Step 2: Await an email with user login details and follow further instructions sent via email.

SIX NATIONS RESEARCH

Ethics Submission Process



*FOR ASSISTANCE OR SUPPORT CONTACT: CRC@SIXNATIONS.CA



Six Nations of the Grand River Research Ethics Policy

IMPORTANT INFORMATION

For

Those interested in conducting research
in and with Six Nations of the Grand River

Table of Contents

Preamble	5
Policy Statement.....	5
Definitions	6
1. Authority.....	9
2. Principles	9
3. Applications to conduct research.	11
4. Research Requiring an application	12
5. Research not requiring an application.....	13
6. Application Review and Approval Process	13
7. Maintaining Good Status.....	14
8. Anonymity and Confidentiality	15
9. Consent.....	15
10. Termination or Suspension of Research.....	16
11. Public Announcements/ Community Engagement.....	16
12. Renewals.....	17
13. Project Completion.....	17
14. Publication Review	17
15. Data, Research Storage, and Ownership	18
16. Authorization	18
Publication Review Request Form.....	19
Memorandum of Understanding	20

Preamble

Six Nations of the Grand River (SNGR) recognizes that research conducted on Six Nations of the Grand River Territory is an endeavor which may affect our Haudenosaunee people, the natural world, and culture, and the way our people are perceived by the outside world. Research conducted on Six Nations of the Grand River Territory must be community-driven and based on mutual respect, understanding, and trust. This is done through a detailed and strategic review process, along with thorough and firm monitoring. This policy seeks to encourage these values, while holding the objective to address and prevent any adverse effects on Six Nations of the Grand River Territory by having established ethical rules for the conduct of such research.

SNGR has the right and authority to authorize research being conducted on Six Nations of the Grand River Territory. Therefore, SNGR has the right and authority to refuse a request to conduct research if it is not based on ethical principles of conducting research and preserving the integrity of our People, their Knowledge, and the natural world around us.

Firstly, SNGR adopts the Principles on Ownership, Control, Access, and Possession (OCAP) [See definitions] and expects that all researchers intending to carry out inquiries on Six Nations of the Grand River Territory are familiarized with the principles.¹ Secondly, SNGR expects all applicants, partner agencies and institutions to function in compliance with the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans – TCPS 2 (2022).

Policy Statement

SNGR is responsible for ensuring the people and land on Six Nations are prioritized and protected, and that all research components are done ethically. SNGR is tasked with ensuring that research benefits the people of Six Nations, and that reciprocity is central to intended work. All Haudenosaunee Knowledge must be protected throughout the creation, mobilization, and dissemination of research, therefore, SNGR shall implement a policy and process to approve and monitor research which is conducted on the Six Nations of the Grand River Territory.

Definitions

Access - Refers to the fact that ... “First Nations must have access to information and data about themselves and their communities regardless of where it is held. The principle of access also refers to the right of First Nations’ communities and organizations to manage and make decisions regarding access to their collective information. This may be achieved, in practice, through standardized, formal protocols...” (OCAP_Brochure_20220927_web.Pdf).

Community Engagement – A process in research that establishes an interaction between a researcher (or team) and an Indigenous community relevant to the research project with intent of forming a collaborative relationship between researchers and communities, although the degree of collaboration may vary depending on the community context and the nature of the research. (Chapter 9, TCPS2).

Concern for Welfare – This means the welfare of a person is the quality of that person's experience of life in all its aspects. Welfare consists of the impact on individuals of factors such as their physical, mental, and spiritual health, as well as their physical, economic, and social circumstances.

Control – This key term “affirms that First Nations, their communities, and representative bodies are within their rights to seek control over all aspects of research and information management processes that impact them. First Nations control of research can include all stages of a particular research project-from start to finish. The principle extends to the control of resources and review processes, the planning process, management of the information and so on” (OCAP_Brochure_20220927_web.Pdf).

Exploratory Phase – means a juncture in a research project in which contact with individuals or communities prior to an ethics approval is permissible so long as the intent of engagement is to discuss the feasibility of the research, establish research partnerships, or the design of a research proposal (Article 6.11, TCPS2).

Haudenosaunee Knowledge – means any knowledge, data and information that is provided by a member of the Six Nations of the Grand River Territory, including Traditional Knowledge that pertains to cultural practices and teachings.

Informed Consent – means voluntary consent sought in advance of any research activities taking place with respect shown to time requirements needed to fully understand and appreciate the purpose, risks and/or benefits of one’s participation.

On-Going Consent – means consent carries through to the end of the participant’s involvement in a project and the participants and the Research Ethics Committee must be provided new and updated information relative to the participant’s on-going consent.

Ownership – This term “...refers to the relationship of First Nations to their cultural knowledge, data, and information. This principle states that a community or group owns information collectively in the same way that an individual owns his or her personal information” (OCAP_Brochure_20220927_web.Pdf).

Possession – This outlines that “...while ownership identifies the relationship between a people and their information in principle, possession or stewardship is more concrete: it refers to the physical control of data. Possession is the mechanism by which ownership can be asserted and protected” (OCAP_Brochure_20220927_web.Pdf).

Justice – The obligation to treat people fairly and equitably. Fairness entails treating all people with equal respect and concern. Equity requires distributing the benefits and burdens of research participation in such a way that no segment of the population is unduly burdened by the harms of research or denied the benefits of the knowledge generated from it.

Research – Is defined as an undertaking intended to extend knowledge through a disciplined inquiry and/or systematic investigation. The term “disciplined inquiry” refers to an inquiry that is conducted with the expectation that the method, results, and conclusions will be able to withstand the scrutiny of the relevant research community (Chapter 2, TCPS2).

Research Reciprocity – The intent to embed into the research process the assurance of tangible, actionable and impactful benefit towards both the greater community which is engaged as well as the participants involved.

Respect for Persons - Respect for Persons incorporates the dual moral obligations to respect autonomy and to protect those with developing, impaired or diminished autonomy. Respect for Persons also includes a commitment to accountability and transparency in the ethical conduct of research.

Six Nations Community Data – Information collected, generated, or extracted which pertains to the people, land, or culture, within/or on Six Nations of the Grand River Territory.

Six Nations of the Grand River – This is the legal name of the Elected Council and the organization.

Six Nations of the Grand River Territory – means Six Nations of the Grand River Reserve No. 40 and 40B

The Research Ethics Committee Protocol—means the initial submission application package submitted through Cayuse, completed by a researcher, which is used for ethics review which must be approved by SNGR in order for a researcher to obtain permission to conduct research on the Six Nations of the Grand River Territory.

Secondary use— means the use of data for a purpose other than the original research purpose that was stated in the researcher's approved application.

1. Authority

- 1.1. The SNGR Research Ethics Committee is vested with the authority by Six Nations of the Grand River Elected Council [*Council Resolution No. GC# GC#58/03/26/2024 and GC#59/03/26/2024*] to review, approve, revise, monitor, suspend, terminate, or reject the conducting of research on the Six Nations of the Grand River Territory for the protection of our people, land and knowledge per its Terms of Reference.
- 1.2. . The Research Ethics Committee Protocol shall be established, reviewed and revised ongoingly, by the Research Ethics Committee according to this policy.
- 1.3. SNGR Research Ethics Committee reserves the right to establish procedures, regulations, and fees, from time to time, under the authority of this policy. This right may be delegated to agents, successors, and/or assigned to employees of SNGR.
- 1.4. The SNGR Research Ethics Committee shall endeavor to protect the integrity of the Six Nations people, land, knowledge, Culture, and heritage.
- 1.5. The SNGR Research Ethics Committee shall endeavor to protect the individual members, the greater community, and land of the Six Nations of the Grand River from harm, abuse, and exploitation.

2. Principles

All research within the Six Nations of the Grand River Territory or research concerning Six Nations of the Grand River Members shall be guided by the following principles:

- 2.1. No research may commence without evidence of approval from the Research Ethics Committee; in the form of a letter signed by the SNGR REC chair, or an assigned appropriate delegate.
- 2.2. All applications for Research Ethics Committee review must be submitted through the Cayuse Administration Software, using an 'initial submission' form.
- 2.3. All applications shall make use of research methodologies which demonstrate a high standard of professional ethics, as outlined by the OCAP principles and the *Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans*² with an emphasis on the "core principle's" in relation to research with Indigenous peoples; These shall apply to all those conducting research under this policy in so far as the Tri-Council Policy Statement is consistent with OCAP and this policy;

- 2.4.** Research must be respectful of community life, norms, customs and beliefs.
- 2.5.** Research project applicants, where possible, shall incorporate into their research plan, evidence that efforts to recruit and meaningfully train Six Nations or other First Nation researchers are incorporated into the project, in order to demonstrate community capacity building.
- 2.6.** A research protocol must demonstrate evidence of paying special attention towards efforts to maintain anonymity and confidentiality for Six Nations participants, study staff, as well as the greater community, in all project phases;
- 2.7.** Research must ensure that all participants shall be entitled to provide prior, informed, and ongoing consent when participating in research projects;
- 2.8.** Researchers acknowledge and are aware that all raw data generated, created or collected throughout the lifecycle of a research project which engaged members of the Six Nations community will belong to the community, and protocols provided to the Research Ethics committee must demonstrate evidence of a juncture where data transfer/or ongoing data sharing occurs;
- 2.9.** Research data shall not be sold, transferred, used or reused including secondary use without the prior expressed written approval of the Research Ethics Committee, in which case, the researcher may be prompted to renew consents from past participants.

3. Applications to conduct research.

- 3.1.** Applications may be received by the Six Nations of the Grand River Research Ethics Committee on a rolling basis, unless otherwise specified;
- 3.2.** An Application to conduct research must be in submitted using the Cayuse Research Administration Software
- 3.3.** An incomplete initial submission will not be reviewed, and will be returned to the applicant for revision;
- 3.4.** Researchers must provide the Research Ethics Committee with a tangible and actionable description of a research dissemination or knowledge translation plan;
- 3.5.** Researchers not seeking a public presentation of their findings (e.g. students carrying out major research projects, unpublished theses etc.) shall submit completed research items to the Research Ethics Committee once completed;
- 3.6.** Researchers seeking a public presentation of their findings (conference presentations, journal publications etc.) shall, when possible, submit completed research items to the Research Ethics Committee for approval of content before submitting a request to an external body for presentation of Six Nations based/inclusive research. This can be done using a publication review request form (Appendix “A”);
- 3.7.** Researchers shall agree and acknowledge that Six Nations of the Grand River owns their knowledge and any information they, the researchers may be granted access to in the duration of the research endeavor; for research applicants to demonstrate preliminary understanding of the principles of OCAP, applicants must include a commitment to OCAP agreement (found as part of the initial submission) as a component of the research protocol for review by the Research Ethics Committee;
- 3.8.** Researchers shall demonstrate their highest level of research ethics related training in the application by attaching all relevant research certifications to the initial submission protocol package.
- 3.9.** In order to minimize harm and build strengths, researchers may contact the Research Ethics Committee for prior advice and consultation on potential risks, benefits, and questions within any endeavor for capacity building for the community;

4. Research Requiring an application

- 4.1.** Contrary to TCPS2 - Surveys and questionnaires that do not identify individuals or require personal information will require an application to the Research Ethics Committee due to possible community wide implication. In these instances, a modified application/or review process may occur, at the committee's discretion.
- 4.2.** Contrary to TCPS2, any research not engaging human participants must be subject to a SNGR-REC review, including but not limited to environmental research.
- 4.3.** Any institution, organization or agency that must abide by the TCPS2, must also submit a research application to the ethics committee.
- 4.4.** Any institution, organization or agency that is partnered with an institution, organization or agency that must abide by the TCPS2, must submit a research application to the ethics committee.
- 4.5.** Any institution, organization or agency not required to abide by the TCPS2, must submit a research application to the ethics committee. In these instances, a modified application/or review process may occur, at the discretion of the committee
- 4.6.** Contrary to TCPS2, archival research is not exempt from SNGR Ethics review, though it may be subject to a condensed review/protocol process.
- 4.7.** Contrary to TCPS2, secondary analysis is not exempt from SNGR Ethics review, though may be subject to a condensed review/protocol process
- 4.8.** Contrary to TCPS2 Research based on publicly available information, protected by law or on information from a public domain with no expectation of privacy and does not involve the collection of data from the community directly or from its members is not exempt from SNGR Ethics review, though may be subject to a condensed review/protocol process
- 4.9.** In any collaborative effort with an external institution, SNGR may choose to complete, or request the completion of a memorandum-of-understanding (see Appendix "B")

5. Research not requiring an application

- 5.1.** Research assigned by and carried out solely by a SNGR department does not require a submission to the SNGR Research Ethics committee; though the committee welcomes internal requests for consultative research ethics guidance and support.
- 5.2.** Partnerships or initiatives which are initiated and solely led by an SNGR department do not require a full submission to the SNGR Research Ethics committee
- 5.3.** Other activities may be deemed exempt from ethics review as the committee may determine as appropriate, where an explanation shall be provided by the committee, and evidence of an exemption can be provided to the individual/or team carrying out the endeavor, to provide to community members as needed.
- 5.4.** In some cases, when a project is justifiably not required for REB approval, a participation waiver may still be required for either the researcher or volunteers in the study; to be
- 5.5.** determined at the discretion of the committee (See Appendix “C”).

6. Application Review and Approval Process

- 6.1.** All Applications shall be reviewed by the Research Ethics Committee as defined by the *Research Ethics Committee Terms of Reference*.
- 6.2.** The Research Ethics Committee shall adhere to this policy when reviewing Applications.
- 6.3.** The Research Ethics Committee may seek advice and assistance in forming an opinion on the application from those managers/departments which will be most affected or others who have knowledge of the subject area of research
- 6.4.** The Research Ethics Committee will determine whether the application review and approval process are the appropriate extent of community engagement, when applicable
- 6.5.** After reviewing the initial submission submitted by a researcher, the Research Ethics Committee may arrive at the following conclusions to offer the researcher applicant. The decision will be delivered via a letter through Cayuse research administration.
The decisions may include:
 - 6.5.1.** Approved – full committee review
 - 6.5.2.** Approved – Expedited/Delegated review
 - 6.5.3.** Exempt
 - 6.5.4.** Continued Review pending minor changes
 - 6.5.5.** Continued Review pending major changes
 - 6.5.6.** Continued Review; requiring significant changes

6.5.7. Project not approved, no option of appeal

6.5.8. Project not approved, option to appeal

6.6. Applications falling under 6.5.4, 6.5.5 and 6.5.6 may be resubmitted with completed revisions, clarification, or requested changes, in order to receive subsequent review

6.7. Applications falling under 6.5.7 may not be resubmitted.

6.8. Applications falling under para 6.5.8 may request an appeal of the application decision, in which case the chair can determine the best course of action, given the context of the rejection.

6.9. The Research Ethics Committee shall maintain an official copy of all initial submissions for the record and ensure that all other copies of proposals are destroyed after a project is approved or denied.

7. Maintaining Good Status

Following an approval from the SNGR Ethics committee, an applicant shall follow subsequent processes and procedures for maintaining communication with the committee throughout the lifecycle of the research. These processes include:

7.1. Protocol Amendments/Modification – Approved research projects must submit protocol amendment/modification form to the SNGR ethics committee through the Cayuse Research Administration platform. An ‘amendment’ is a written description of any applicable change(s) to a currently approved protocol/ active project. Amendments include any change to the study plan that affects scholarly intent, study design or human participant protection.

7.2. Research Updates – The SNGR ethics committee will determine the appropriate schedule for an applicant to provide research updates as applicable. The SNGR ethics committee reserves the right to request an update from an approved researcher at any time via email or community presentation.

7.3. Adverse Events Reporting - An undesirable experience or response that occurs during research activities is considered an Adverse Event (AE). The adverse events may be emotional, psychological, or physiological in nature. The researcher must notify the SNGR Ethics Committee submitting an incident submission to the SNGR ethics committee through the Cayuse Research Administration platform within one business day following the event.

7.4. Protocol Deviation Reporting - Any changes to a study that have not undergone ethics review and clearance are considered Protocol Deviations (PD). These are normally

unanticipated or unintentional changes that may occur in situ. Protocol deviations may impact the research protocol, consents or other study materials, and these changes to process would not have been anticipated ahead of time. Therefore, after the fact, protocol deviation notifications are often necessary to ensure the safety and welfare of the participants are still primary focus in the face of shifting procedures, processes, or attention. The researcher must notify the SNGR Ethics Committee of a protocol deviation immediately by submitting an incident submission to the SNGR ethics committee through the Cayuse Research Administration platform.

8. Anonymity and Confidentiality

- 8.1.** No research project will be recommended for approval if anonymity and confidentiality are not guaranteed, unless:
- 8.2.** The Research Ethics Committee is convinced that the lack of anonymity and confidentiality presents no risk to the participants; and
- 8.3.** The Research Ethics Committee is satisfied that participants will be fully aware of the lack of anonymity and confidentiality.
- 8.4.** Secondary use of research data is prohibited unless it has been contemplated in a previous application and authorized in original consents. Otherwise, secondary use of research data will require permission from the Research Ethics Committee and further individual consent. Further consent may not be required if the Research Ethics Committee is satisfied with one of Articles 5.5A or 12.3A of the Tri-Council Policy Statement Ethical Conduct for Research Involving Humans applies.

9. Consent

- 9.1.** Informed written consent must be obtained from any individual or authorized third parties prior to their involvement in the research and the procedure for obtaining informed consent shall be documented.
- 9.2.** Participants shall be given adequate time and opportunity to process the information provided, pose any questions they may have in coming to an informed decision.
- 9.3.** If participants' first language is an Indigenous language, then researchers must make available translation prior to consent being given, as well as during the consent process and conduct of research in accordance with the wishes of the participant.
- 9.4.** Consent shall always be an on-going process.
- 9.5.** The researcher shall develop a procedure that provides participants the opportunity to withdraw their consent at any point in time with regards to a participant's personal and

biological data. This procedure shall be made known to participants during the consent process.

9.6. The researcher shall ascertain the wishes of an individual who lacks decision making capacity but has some understanding of the research to be conducted and their wishes will be respected.

9.7. Where there are bona fide reasons for not obtaining informed written consent, the Applicant must explain those reasons to the Research Ethics Committee.

9.8. The Research Ethics Committee may approve and document a research project that does not include or alters the requirements for prior, informed and ongoing written consent provided if the Research Ethics Committee is satisfied with the following apply.

9.8.1. The research activity presents no more than a **minimal** risk to the subjects.

9.8.2. The research is highly unlikely to adversely affect the welfare of the participants

9.8.3. The research could not be practically carried out without the waiver or alteration and the request to is not based on mere inconvenience.

9.8.4. The research defines the nature and extent of the alteration.

9.8.5. The research includes a plan for a debriefing, which will offer participants the opportunity to refuse their consent and/or withdraw their personal and biological data.

10. Termination or Suspension of Research

10.1. If during research, the Research Ethics Committee is notified by a complaint that the research is operating unethically or not following the approved protocols, the Research Ethics Committee shall hold a full review with the researcher(s).

10.2. If the Research Ethics Committee is satisfied that the complaint was warranted, the Research Ethics Committee may terminate the research or levy other appropriate sanctions as deemed appropriate by the Research Ethics Committee.

11. Public Announcements/ Community Engagement

11.1. The researcher shall acknowledge that at the discretion of the Research Ethics Committee, public announcements, via local newspapers and/or radio, may be made regarding the conducting of research.

11.2. The person(s) or organization(s) submitting the proposal will be notified of a possible public announcement of their research prior to a decision being made on their proposal.

11.3. The person(s) or organization(s) submitting the proposal may be required to work in conjunction with the Research Ethics Committee in drafting a public announcement.

Community feedback will be considered as part of the application approval process.

11.4. In order to monitor and ensure compliance with this policy, research information shall be made public, in print and electronic media, to disclose and disseminate the status of approved research and what projects and researchers are not in compliance with SNGR Research Ethics Protocols.

11.5. The Six Nations of the Grand River Research Ethics Committee will strive to release a report to the community on the research completed on Six Nations of the Grand River Territory and all results of this research at the Community Awareness events occurring annually in May and posted on the sixnations.ca website.

12. Renewals

12.1. All approved research projects must submit a renewal submission using the Cayuse administration software, with sufficient details to allow the SNGR Ethics Committee to make an informed judgment about the continued ethical acceptability of the research. The SNGR Ethics committee reserves the right to request or require more frequent renewal for some higher risk research projects.

13. Project Completion

13.1. Upon the completion, or termination, of a research project the researcher(s) shall submit a Research Completion report to the Six Nations of the Grand River Research Ethics Committee through the Cayuse research administration platform.

14. Publication Review

14.1. Prior to publication, the researcher(s) shall submit the research for review to the Six Nations of the Grand River Research Ethics Committee, using a Publication Review form (Appendix A), and by attaching the manuscript or draft document seeking approval.

14.2. If the Six Nations of the Grand River Research Ethics Committee has concerns with the research, the researcher(s) shall consider all the reasonable concerns the Six Nations of the Grand River Research Ethics Committee may have, and shall:

14.2.1. Make appropriate changes to the research.

14.2.2. Accurately report the Six Nations of the Grand River Research Ethics Committee's disagreement within the publication where applicable.

15. Data, Research Storage, and Ownership

15.1. Included in the research ethics protocol initial submission, is a data management planning component, which all applicants must complete, as applicable

15.2. The Six Nations of the Grand River Research Ethics Committee will arrange for copies of research files to be stored at the appropriate SNGR department or portfolio as applicable or necessary.

15.3. The researcher(s) shall submit a digital file of the final intended research project, along with any other output containing community information, which may be adjacent to the original output, to the Six Nations of the Grand River Research Ethics Committee.

15.3 All raw, analyzed, and/or archived community data that is collected, generated, or extracted, over the course of a research project will be shared with Six Nations of the Grand River, and its community members unless otherwise agreed to in writing, and prior to the research commencing.

15.4. All Six Nations Community Data and Knowledge, along with the research publication itself will be the property of Six Nations of the Grand River, and its community members unless otherwise agreed to in writing and prior to the commencing of research.

16. Authorization

16.1. This policy shall repeal and replace the “Policy for Conducting Research at Six Nations “and “Conducting Research at Six Nations” as passed by Council Resolution No. **GC# GC#58/03/26/2024 and GC#59/03/26/2024**, effective March 26, 2024.

16.2 This policy was approved by Six Nations Elected Council at the General Council meeting held on April 8, 2014, 2014 by resolution No. GC#261/04/08/2014, to be effective April 15, 2014

17. Related Documents

- Appendix A - Publication Review form
- Appendix B - Memorandum of Understanding Template
- Appendix C- SNGR Waiver form



Appendix A

Publication Review Request Form

Prior to the submission of a researchers manuscript to a Journal or other publishing institution, an approved researcher must submit a publication review request. The SNGR ethics committee or assigned delegate will review the content requested for publication and provide approval for the applicant to move forward. Please attach the manuscript to the email submitting this review request form.

Title of Study:

Title of Publication:

Abstract:

Anticipated area of concern/area of focus for reviewer (*If applicable*):

Destination Journal:

Community Partner(s) (*If applicable*):

Name of person completing form: _____

Signature: _____

Date: _____



Appendix B

Date:

Memorandum of Understanding

This memorandum of understanding (MOU) is to outline the conditions for collaboration. This MOU will be put in place to create transparency in a working relationship pertaining to the expectations, roles, responsibilities, values and understandings for the purpose of the research project titled “_____” between, among, and across the identified parties.

This work, hereinafter will be referred to as the “Partnership”. The parties included in this “Partnership” are:

1. Name, Institution - *[Label thirdly as Community partner or Academic/institutional partner]*
2. Name, Institution - *[Label thirdly as Community partner or Academic/institutional partner]*
3. Name, Institution - *[Label thirdly as Community partner or Academic/institutional partner]*
4. Name, Institution - *[Label thirdly as Community partner or Academic/institutional partner]*
- 5.
- 6.

The parties listed above agree to share responsibility towards the commitment to a collaborative research relationship, in the work towards *[Cite Project Objectives]*.

- 1.
- 2.
- 3.

Partner roles

1. **Community Partner(s)**
2. **Academic Partner (s)**

Mutual responsibilities

1. Will work towards objectives by fulfilling each parties role
2. Will contribute to the working relationship and all tasks by abiding by an outlined preferred means of communication

3. Both/all parties in the “Partnership” understand the project being undertaken shall be be community-led
4. Academic partners will not share community provided reports or resources beyond those involved in the project, as outlined in the research ethics protocol
5. Both/all parties will work to ensure that reciprocity remains central to the “Partnership” and all activities
7. All materials, protocols and proposed deliverables and outcomes, will be reviewed by the SNGR ethics committee
8. Both/all parties will commit to resolving dispute respectfully; this is not a legally binding document, but any partnership with an academic institution can and will be guided by the tri-council policy on the ethical....should any discrepancies outlined arise, the SNGR ethics may consult to the (ethical conduct person).
9. These mutual responsibilities will come into effect the day of signature .

[illegible]



Appendix C

ACTIVITIES FOR Six Nations of the GRAND RIVER

P.O. Box 5000, OHSWEKEN ONTARIO, N0A 1M0

WAIVER OF LIABILITY, ASSUMPTION OF RISKS AND, INDEMNIFICATION AGREEMENT (Hereinafter referred to as the “Release Agreement”)

BY SIGNING THIS DOCUMENT, YOU WILL WAIVE OR GIVE UP CERTAIN LEGAL RIGHTS, INCLUDING THE RIGHT TO SUE OR CLAIM COMPENSATION FOLLOWING PERSONAL INJURY, DEATH, PROPERTY DAMAGE, OR LOSS

ASSUMPTION OF RISKS: I acknowledge that my participation as a [volunteer/assistant] in {” (“the Research”) carries with it certain inherent risks and dangers that cannot be eliminated regardless of the care or preventative measures taken to avoid accidents and or injuries.

WAIVER, RELEASE, AND INDEMNIFICATION: In consideration of SNGR agreeing to my participation in the Research, and for other good and valuable consideration, the receipt and sufficiency of which is acknowledged, I, on behalf of myself, personal representatives, heirs, spouse, next of kin, executors, administrators, or assigns, do hereby agree to waive, release, forever discharge, and indemnify SNGR from and against all claims and demands, loss, costs, damages, actions, causes of action, suits, or other proceedings by whomsoever made, brought, or prosecuted in a manner related to any loss, property damage, personal injury, illness, or death, resulting from, occasioned by, or attributable in any way to my acts or omissions resulting from my participation in the Research or attendance during this Research, DUE TO ANY CAUSE WHATSOEVER. Despite the foregoing, if I commence a claim of any kind whatsoever against SNGR, I will indemnify them for any expenses they incur in defence thereof.

ACKNOWLEDGMENT AND UNDERSTANDING: I acknowledge, understand, and consent to the sharing of personal information in this Release Agreement within the SNGR’s organization pursuant to its policies. I confirm that I have read and understood this Release Agreement prior to signing it, including the description of the inherent risks associated with the Research. I am aware that by signing this Release Agreement I am waiving certain legal rights which I or my heirs, next of kin, executors, administrators, assigns, and representatives may have against SNGR. I am also aware that this Release Agreement is intended to be broad and all-inclusive so as to preclude any claims, and I confirm that I have the legal capacity to sign.

Name/Child’s name:

Signature/Parent or

Legal Guardian’s

Signature

Date:

_____(initials)

AUTHORIZATION

This template was approved by Six Nations of the Grand River Senior Administrative Team on July 12, 2022 and is effective immediately.
Revised June 7, 2023

